



SUSE Linux Enterprise Server 15 SP3

# Upgrade Guide

## Upgrade Guide

SUSE Linux Enterprise Server 15 SP3

This book guides you through upgrades of SUSE Linux Enterprise Server. If you use SUSE Linux Enterprise Server as base system for other SLE products or extensions, also see their product documentation for upgrade information specific to this product or extension.

Publication Date: December 05, 2024

<https://documentation.suse.com> 

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# Preface

## 1 Available documentation

### Online documentation

Our documentation is available online at <https://documentation.suse.com>. Browse or download the documentation in various formats.



### Note: Latest updates

The latest updates are usually available in the English-language version of this documentation.

### SUSE Knowledgebase

If you have run into an issue, also check out the Technical Information Documents (TIDs) that are available online at <https://www.suse.com/support/kb/>. Search the SUSE Knowledgebase for known solutions driven by customer need.

### Release notes

For release notes, see <https://www.suse.com/releasesnotes/>.

### In your system

For offline use, the release notes are also available under `/usr/share/doc/release-notes` on your system. The documentation for individual packages is available at `/usr/share/doc/packages`.

Many commands are also described in their *manual pages*. To view them, run `man`, followed by a specific command name. If the `man` command is not installed on your system, install it with `sudo zypper install man`.

## 2 Improving the documentation

Your feedback and contributions to this documentation are welcome. The following channels for giving feedback are available:

### Service requests and support

For services and support options available for your product, see <https://www.suse.com/support/>.

To open a service request, you need a SUSE subscription registered at SUSE Customer Center. Go to <https://scc.suse.com/support/requests>, log in, and click *Create New*.

### Bug reports

Report issues with the documentation at <https://bugzilla.suse.com/>.

To simplify this process, click the *Report an issue* icon next to a headline in the HTML version of this document. This preselects the right product and category in Bugzilla and adds a link to the current section. You can start typing your bug report right away.

A Bugzilla account is required.

### Contributions

To contribute to this documentation, click the *Edit source document* icon next to a headline in the HTML version of this document. This will take you to the source code on GitHub, where you can open a pull request.

A GitHub account is required.



**Note:** *Edit source document* only available for English

The *Edit source document* icons are only available for the English version of each document. For all other languages, use the *Report an issue* icons instead.

For more information about the documentation environment used for this documentation, see the repository's README.

### Mail

You can also report errors and send feedback concerning the documentation to [doc-team@suse.com](mailto:doc-team@suse.com). Include the document title, the product version, and the publication date of the document. Additionally, include the relevant section number and title (or provide the URL) and provide a concise description of the problem.



## 3 Documentation conventions

The following notices and typographic conventions are used in this document:

- `/etc/passwd`: Directory names and file names
- `PLACEHOLDER`: Replace `PLACEHOLDER` with the actual value
- `PATH`: An environment variable
- `ls`, `--help`: Commands, options, and parameters
- `user`: The name of a user or group
- `package_name`: The name of a software package
- `Alt`, `Alt - F1`: A key to press or a key combination. Keys are shown in uppercase as on a keyboard.
- `File`, `File > Save As`: menu items, buttons
- `AMD/Intel` This paragraph is only relevant for the AMD64/Intel 64 architectures. The arrows mark the beginning and the end of the text block. `<`
- `IBM Z, POWER` This paragraph is only relevant for the architectures `IBM Z` and `POWER`. The arrows mark the beginning and the end of the text block. `<`
- *Chapter 1, “Example chapter”*: A cross-reference to another chapter in this guide.
- Commands that must be run with `root` privileges. You can also prefix these commands with the `sudo` command to run them as a non-privileged user:

```
# command  
> sudo command
```

- Commands that can be run by non-privileged users:

```
> command
```

- Commands can be split into two or multiple lines by a backslash character (`\`) at the end of a line. The backslash informs the shell that the command invocation will continue after the line's end:

```
> echo a b \
```

```
c d
```

- A code block that shows both the command (preceded by a prompt) and the respective output returned by the shell:

```
> command  
output
```

- Notices



### Warning: Warning notice

Vital information you must be aware of before proceeding. Warns you about security issues, potential loss of data, damage to hardware, or physical hazards.



### Important: Important notice

Important information you should be aware of before proceeding.



### Note: Note notice

Additional information, for example about differences in software versions.



### Tip: Tip notice

Helpful information, like a guideline or a piece of practical advice.

- Compact Notices



Additional information, for example about differences in software versions.



Helpful information, like a guideline or a piece of practical advice.

## 4 Support

Find the support statement for SUSE Linux Enterprise Server and general information about technology previews below. For details about the product lifecycle, see <https://www.suse.com/lifecycle>.

If you are entitled to support, find details on how to collect information for a support ticket at <https://documentation.suse.com/sles-15/html/SLES-all/cha-adm-support.html>.

### 4.1 Support statement for SUSE Linux Enterprise Server

To receive support, you need an appropriate subscription with SUSE. To view the specific support offers available to you, go to <https://www.suse.com/support/> and select your product.

The support levels are defined as follows:

#### L1

Problem determination, which means technical support designed to provide compatibility information, usage support, ongoing maintenance, information gathering and basic troubleshooting using available documentation.

#### L2

Problem isolation, which means technical support designed to analyze data, reproduce customer problems, isolate a problem area and provide a resolution for problems not resolved by Level 1 or prepare for Level 3.

#### L3

Problem resolution, which means technical support designed to resolve problems by engaging engineering to resolve product defects which have been identified by Level 2 Support.

For contracted customers and partners, SUSE Linux Enterprise Server is delivered with L3 support for all packages, except for the following:

- Technology previews.
- Sound, graphics, fonts, and artwork.
- Packages that require an additional customer contract.

- Some packages shipped as part of the module *Workstation Extension* are L2-supported only.
- Packages with names ending in `-devel` (containing header files and similar developer resources) will only be supported together with their main packages.


SUSE will only support the usage of original packages. That is, packages that are unchanged and not recompiled.

## 4.2 Technology previews

Technology previews are packages, stacks, or features delivered by SUSE to provide glimpses into upcoming innovations. Technology previews are included for your convenience to give you a chance to test new technologies within your environment. We would appreciate your feedback. If you test a technology preview, please contact your SUSE representative and let them know about your experience and use cases. Your input is helpful for future development.

Technology previews have the following limitations:

- Technology previews are still in development. Therefore, they may be functionally incomplete, unstable, or otherwise *not* suitable for production use.
- Technology previews are *not* supported.
- Technology previews may only be available for specific hardware architectures.
- Details and functionality of technology previews are subject to change. As a result, upgrading to subsequent releases of a technology preview may be impossible and require a fresh installation.
- SUSE may discover that a preview does not meet customer or market needs, or does not comply with enterprise standards. Technology previews can be removed from a product at any time. SUSE does not commit to providing a supported version of such technologies in the future.

For an overview of technology previews shipped with your product, see the release notes at <https://www.suse.com/releasenotes> .

# 1 Upgrade paths and methods

SUSE® Linux Enterprise (SLE) allows upgrading an existing system to a later version or service pack. No new installation is needed. Existing data, such as home and data directories and system configuration, is kept intact. You can update from a local CD or DVD drive or from a central network installation source.

This chapter explains how to manually upgrade your SUSE Linux Enterprise system, be it by DVD, network, an automated process, or SUSE Manager.

## 1.1 Upgrading versus fresh installation

Upgrades between two major releases of SUSE Linux Enterprise Server are supported by SUSE. Whether it is better to upgrade or perform a fresh installation depends on your specific scenario. While upgrades involve less work, fresh installations ensure you benefit from all the new features of a release such as disk layout changes, specific file system features, and other improvements. To get the most out of your system, SUSE therefore recommends fresh installations in most scenarios.

In both cases—upgrade as well as a fresh installation—customers need to check if system settings and default values still fit their requirements.

For updates from one service pack of a specific release to another one of the same codestream, SUSE recommends to do it in-place, and not to perform a fresh installation. Nevertheless there may be reasons and scenarios for a customer to perform a fresh installation in this case, too. The decision of which is more suitable can only be made by the customer.

## 1.2 Supported upgrade paths to SLES 15 SP3

Before you perform any migration, read [Chapter 3, Preparing the upgrade](#).

### Important: Cross-architecture upgrades are not supported

Cross-architecture upgrades, such as upgrading from a 32-bit version of SUSE Linux Enterprise Server to the 64-bit version, or upgrading from big endian to little endian are *not* supported!

Specifically, SLE 11 on POWER (big endian) to SLE 15 SP3 on POWER (new: little endian!) is *not* supported.

Also, since SUSE Linux Enterprise 15 is 64-bit only, upgrades from any 32-bit SUSE Linux Enterprise 11 systems to SUSE Linux Enterprise 15 and later are *not* supported.

To make a cross-architecture upgrade, you need to perform a new installation.

## Note: Skipping service packs

The easiest upgrade path is consecutively installing all service packs. For the SUSE Linux Enterprise 15 product line (GA and the subsequent service packs) it is also supported to skip up to two service packs when upgrading. For example, upgrading from SLE 15 GA to 15 SP3 is supported (as long as SLE 15 GA is supported).

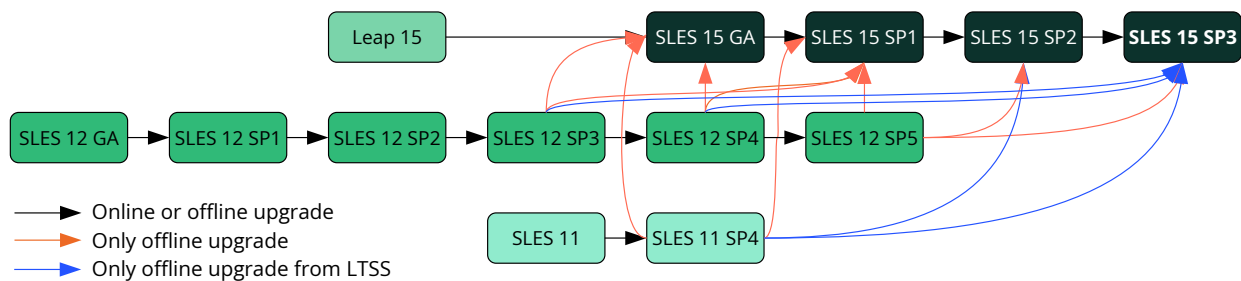


FIGURE 1.1: OVERVIEW OF SUPPORTED UPGRADE PATHS

## Warning: Upgrading databases

The upgrade paths described here apply only to SUSE Linux Enterprise as the operating system of a machine, not to all the applications it runs. If you have workloads such as PostgreSQL or MariaDB databases, intermediate OS upgrades may be required in order to upgrade your applications.

Before upgrading the operating system, consult the [Release Notes \(https://www.suse.com/releasesnotes/\)](https://www.suse.com/releasesnotes/) for information about database versions. If a new major version is shipped, refer to [Chapter 3, Preparing the upgrade](#) for upgrade instructions.

### SUPPORTED UPGRADE PATHS PER VERSION

#### Upgrading from SUSE Linux Enterprise Server 11 GA / SP1 / SP2 / SP3 / SP4

Upgrading from SLES 11 SP4 or older service packs directly is not supported. You need at least SLES 11 SP4 with LTSS before you can proceed to SLES 15 SP3.

If you cannot do a fresh installation, first upgrade your installed SLES 11 service pack to SLES 11 SP4 with LTSS. This upgrade is described in the *SLES 11 SP4 Deployment Guide* (<https://doc.suse.com/sles/11-SP4/html/SLES-all/book-sle-deployment.html>) ↗.

#### Upgrading from SUSE Linux Enterprise Server 11 SP4 with LTSS

Upgrading from SLES 11 SP4 with LTSS is only supported via an offline upgrade. Refer to *Chapter 4, Upgrading offline* for details.

#### Upgrading from SUSE Linux Enterprise Server 12 GA / SP1 / SP2 / SP3 / SP4

Upgrading from SLES 12 SP3 or older service packs directly is not supported. You need at least SLES 12 SP5 before you can proceed to SLES 15 SP3.

If you cannot do a fresh installation, first upgrade your installed SLES 12 service pack to SLES 12 SP5. This upgrade is described in the *SLES 12 SP5 Deployment Guide* (<https://doc.suse.com/sles/12-SP5/html/SLES-all/book-sle-deployment.html>) ↗.

#### Upgrading from SUSE Linux Enterprise Server 12 SP3 / SP4 with LTSS

Upgrading from SLES 12 SP3 or SP4 with LTSS is only supported via an offline upgrade. Refer to *Chapter 4, Upgrading offline* for details.

#### Upgrading from SUSE Linux Enterprise Server 12 SP5

Upgrading from SLES 12 SP5 is only supported via an offline upgrade. Refer to *Chapter 4, Upgrading offline* for details.

#### Upgrading from SUSE Linux Enterprise Server 15 GA

Upgrading from SLES 15 GA directly is no longer supported. You need at least SLES 15 SP1 before you can proceed to SLES 15 SP3.

#### Upgrading from SUSE Linux Enterprise Server 15 GA with LTSS or ESPOS

Upgrading from SLES 15 GA with LTSS is supported both online and offline. Refer to *Section 1.3, "Online and offline upgrade"* for details.

#### Upgrading from SUSE Linux Enterprise Server 15 SP1 / SP2

Upgrading from SLES 15 SP1 or SP2 is supported both online and offline. Refer to *Section 1.3, "Online and offline upgrade"* for details.

#### Upgrading SUSE Linux Enterprise public cloud guests

For instructions on upgrading SLE guests in public clouds, see *Using the SUSE Distribution Migration System* (<https://doc.suse.com/suse-distribution-migration-system/1.0/single-html/distribution-migration-system/>) ↗.

## Upgrading from openSUSE Leap 15

Upgrading from openSUSE Leap 15 is supported. See [Section 5.9, “Upgrading from openSUSE Leap to SUSE Linux Enterprise Server”](#). Only the server installation of Leap is supported for an upgrade.



### Note: Extended Service Pack Overlap Support (ESPOS)

For some products, SUSE offers Extended Service Pack Overlap Support (ESPOS) under the same conditions as LTSS. For more information about ESPOS, refer to the documentation of the respective SUSE Linux Enterprise product and the [Product Lifecycle Support Policies \(https://www.suse.com/support/policy-products/\)](https://www.suse.com/support/policy-products/) [webpage](#).

## 1.3 Online and offline upgrade

SUSE supports the following upgrade and migration methods. For more information about the terminology, see [Section 2.1, “Terminology”](#). The methods are:

### Online

Upgrades that are executed from the running operating system itself (system up and running state). Examples: online update with Zypper or YaST, connected through SUSE Customer Center or Repository Mirroring Tool (RMT), Salt Policy via SUSE Manager.

For details, see [Chapter 5, Upgrading online](#).

When migrating between Service Packs of the same major release, we suggest following [Section 5.4, “Upgrading with the online migration tool \(YaST\)”](#) or [Section 5.5, “Upgrading with Zypper”](#).

### Offline


Upgrading offline implies that the operating system to be upgraded is *not* running (system down state). Instead, the installer for the target operating system is booted (for example, from the installation media, via network or via local boot loader), and performs the upgrade.

For details, see [Chapter 4, Upgrading offline](#).





## Important: SUSE Manager clients

If your machine is managed by SUSE Manager, update it as described in the SUSE Manager documentation. The *Client Migration* procedure is described in the *SUSE Manager Upgrade Guide*, available at <https://documentation.suse.com/suma/> .

## 2 Life cycle and support

This chapter provides background information on terminology, SUSE product life cycles and Service Pack releases, and recommended upgrade policies.

### 2.1 Terminology

This section uses several terms. To understand the information, read the definitions below:

#### Backporting

Backporting is the act of adapting specific changes from a newer version of software and applying it to an older version. The most commonly used case is fixing security holes in older software components. Usually it is also part of a maintenance model to supply enhancements or (less commonly) new features.

#### Delta RPM

A delta RPM consists only of the binary diff between two defined versions of a package, and therefore has the smallest download size. Before being installed, the full RPM package is rebuilt on the local machine.

#### Downstream

A metaphor of how software is developed in the open source world (compare it with *upstream*). The term *downstream* refers to people or organizations like SUSE who integrate the source code from upstream with other software to build a distribution which is then used by end users. Thus, the software flows downstream from its developers via the integrators to the end users.

#### Extension,

#### Add-on product

Extensions and third party add-on products provide additional functionality of product value to SUSE Linux Enterprise Server. They are provided by SUSE and by SUSE partners, and they are registered and installed on top of the base product SUSE Linux Enterprise Server.

#### LTSS

LTSS is the abbreviation for Long Term Service Pack Support, which is available as an extension for SUSE Linux Enterprise Server.

## Major release,

### General Availability (GA) version

The major release of SUSE Linux Enterprise (or any software product) is a new version which brings new features and tools, decommissions previously deprecated components and comes with backward-incompatible changes. Major releases for example are SUSE Linux Enterprise 12 or 15.

## Migration

Updating to a Service Pack (SP) by using the online update tools or an installation medium to install the respective patches. It updates all packages of the installed system to the latest state.

## Migration target

A compatible product to which a system can be migrated, containing the version of the products/extensions and the URL of the repository. Migration targets can change over time and depend on installed extensions. Multiple migration targets can be selected, for example SLE 15 SP1 and SES 6.

## Module

Modules are fully supported parts of SUSE Linux Enterprise Server with a different life cycle. They have a clearly defined scope and are delivered via online channel only. Registering at the SUSE Customer Center, RMT (Repository Mirroring Tool), or SUSE Manager is a prerequisite for being able to subscribe to these channels.

## Package

A package is a compressed file in `rpm` format that contains all files for a particular program, including optional components like configuration, examples, and documentation.

## Patch

A patch consists of one or more packages and may be applied by means of delta RPMs. It may also introduce dependencies to packages that are not installed yet.

## Service Pack (SP)

A service pack combines several patches into a form that is easy to install or deploy. Service packs are numbered and usually contain security fixes, updates, upgrades, or enhancements of programs.

## Upstream

A metaphor of how software is developed in the open source world (compare it with *downstream*). The term *upstream* refers to the original project, author or maintainer of a software that is distributed as source code. Feedback, patches, feature enhancements, or other improvements flow from end users or contributors to upstream developers. They decide if the request will be integrated or rejected.

If the project members decide to integrate the request, it will show up in newer versions of the software. An accepted request will benefit all parties involved.

If a request is not accepted, it may be for different reasons. Either it is in a state that is not compliant with the project's guidelines, it is invalid, it is already integrated, or it is not in the interest or road map of the project. An unaccepted request makes it harder for upstream developers as they need to synchronize their patches with the upstream code. This practice is generally avoided, but sometimes it is still needed.

## Update

Installation of a newer *minor* version of a package, which usually contains security or bug fixes.

## Upgrade

Installation of a newer *major* version of a package or distribution, which brings *new features*. For a distinction between the upgrade options, see [Section 1.3, "Online and offline upgrade"](#).

## 2.2 Product life cycle

SUSE has the following product life cycle:

- SUSE Linux Enterprise Server has a 13-year life cycle: 10 years of general support and three years of extended support.
- SUSE Linux Enterprise Desktop has a 10-year life cycle: seven years of general support and three years of extended support.
- Major releases are made every four years. Service packs are made every 12-14 months.

SUSE supports previous service packs for six months after the release of the new service pack.

[Figure 2.1, "Major releases and service packs"](#) depicts some mentioned aspects.

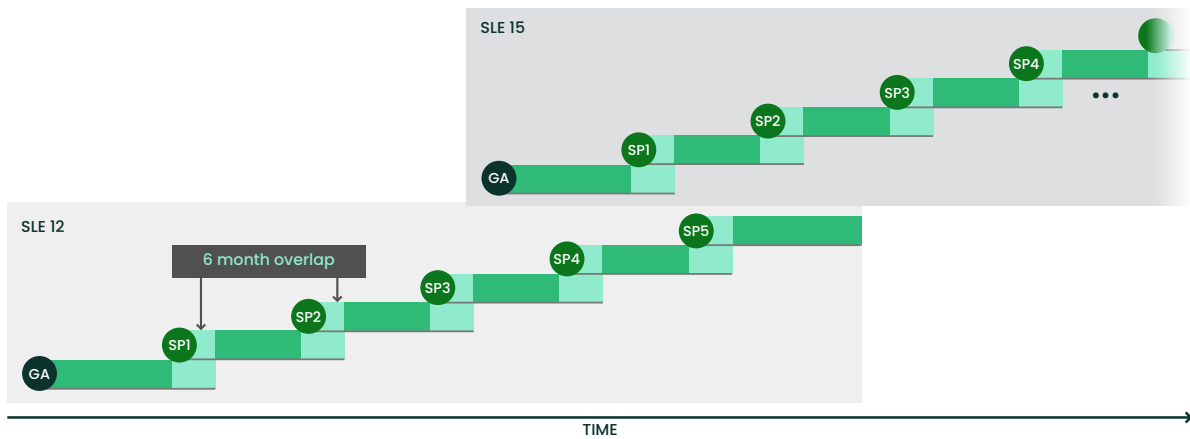


FIGURE 2.1: MAJOR RELEASES AND SERVICE PACKS

If you need additional time to design, validate and test your upgrade plans, Long Term Service Pack Support can extend the support you get by an additional 12 to 36 months in 12-month increments. This gives you a total of 2 to 5 years of support on any service pack. For details, see [Figure 2.2, “Long term service pack support”](#).

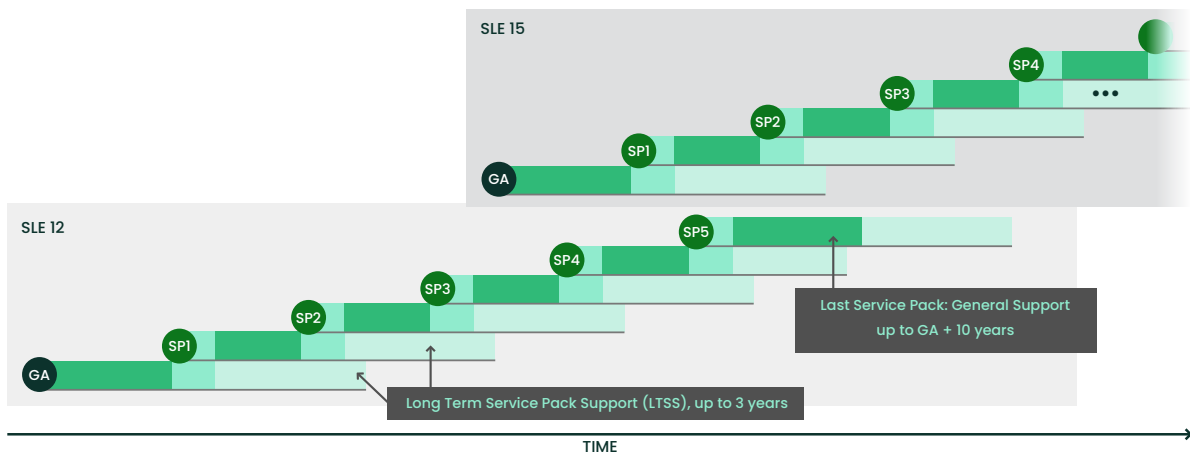


FIGURE 2.2: LONG TERM SERVICE PACK SUPPORT

For more information refer to <https://www.suse.com/products/long-term-service-pack-support/>. Refer to <https://www.suse.com/lifecycle/> for more information about life cycles, release frequency, and the overlay support period.

## 2.3 Module dependencies and life cycles

For a list of modules, their dependencies, and life cycles, see the *Article “Modules and Extensions Quick Start”*.

## 2.4 Generating periodic life cycle report

SUSE Linux Enterprise Server can regularly check for changes in the support status of all installed products and send the report via e-mail in case of changes. To generate the report, install the `zypper-lifecycle-plugin` with **zypper in zypper-lifecycle-plugin**.

Enable the report generation on your system with `systemctl`:

```
> sudo systemctl enable lifecycle-report.timer
```

The recipient and subject of the report e-mail, and the report generation period can be configured in the file `/etc/sysconfig/lifecycle-report` with any text editor. The settings `MAIL_TO` and `MAIL_SUBJ` define the mail recipient and subject, while `DAYS` sets the interval at which the report is generated.

The report displays changes in the support status after the change occurred and not in advance. If the change occurs right after the generation of the last report, it can take up to 14 days until you are notified of the change. Take this into account when setting the `DAYS` option. Change the following configuration entries to fit your requirements:

```
MAIL_TO='root@localhost'  
MAIL_SUBJ='Lifecycle report'  
DAYS=14
```

The latest report is available in the file `/var/lib/lifecycle/report`. The file contains two sections. The first section informs about the end of support for used products. The second section lists packages with their support end dates and update availability.

## 2.5 Support levels

The range for extended support levels starts from year 10 and ends in year 13. These contain continued L3 engineering level diagnosis and reactive critical bug fixes. With these support levels, you will receive updates for trivially exploitable root exploits in the kernel and other root exploits directly executable without user interaction. Furthermore, they support existing workloads, software stacks, and hardware with limited package exclusion list. Find an overview in [Table 2.1, "Security updates and bug fixes"](#).

TABLE 2.1: SECURITY UPDATES AND BUG FIXES

Feature	General Support for Most Recent Service Pack (SP)			General Support for Previous SP, with LTSS	Extended Support with LTSS
	Year 1-5	Year 6-7	Year 8-10	Year 4-10	Year 10-13
Technical Services	Yes	Yes	Yes	Yes	Yes
Access to Patches and Fixes	Yes	Yes	Yes	Yes	Yes
Access to Documentation and Knowledge Base	Yes	Yes	Yes	Yes	Yes
Support for Existing Stacks and Workloads	Yes	Yes	Yes	Yes	Yes
Support for New Deployments	Yes	Yes	Limited (Based on partner and customer requests)	Limited (Based on partner and customer requests)	No
Enhancement Requests	Yes	Limited (Based on partner and customer requests)	Limited (Based on partner and customer requests)	No	No

	General Support for Most Recent Service Pack (SP)			General Support for Previous SP, with LTSS	Extended Support with LTSS
Feature	Year 1-5	Year 6-7	Year 8-10	Year 4-10	Year 10-13
Hardware Enablement and Optimization	Yes	Limited (Based on partner and customer requests)	Limited (Based on partner and customer requests)	No	No
Driver updates via SUSE Solid-Driver Program (formerly PLDP)	Yes	Yes	Limited (Based on partner and customer requests)	Limited (Based on partner and customer requests)	No
Backport of Fixes from Recent SP	Yes	Yes	Limited (Based on partner and customer requests)	N/A	N/A
Security Updates <sup>1</sup>	All	All	All	Critical only	Critical only
Defect Resolution	Yes	Yes	Limited (Severity Level 1 and 2 defects only)	Limited (Severity Level 1 and 2 defects only)	Limited (Severity Level 1 and 2 defects only)

<sup>1</sup> For further information on the SUSE Linux Enterprise Update Policy, refer to the following [knowledgebase article \(https://www.suse.com/support/kb/doc/?id=000018318\)](https://www.suse.com/support/kb/doc/?id=000018318).



## 2.6 Registering and deregistering machines with SUSEConnect

On registration, the system receives repositories from the SUSE Customer Center (see <https://scc.suse.com/>) or a local registration proxy like SMT. The repository names map to specific URIs in the customer center. To list all available repositories on your system, use `zypper` as follows:

```
# zypper repos -u
```

This gives you a list of all available repositories on your system. Each repository is listed by its alias, name and whether it is enabled and will be refreshed. The option `-u` gives you also the URI from where it originated.

To register your machine, run SUSEConnect, for example:

```
# SUSEConnect -r REGCODE
```

To deregister your machine, you can use SUSEConnect too:

```
# SUSEConnect --de-register
```

To check your locally installed products and their status, use the following command:

```
# SUSEConnect -s
```

## 2.7 Enabling LTSS support

Long Term Service Pack Support (LTSS) extends the lifecycle of SUSE Linux Enterprise Server. It is available as an extension. For more information about LTSS, refer to <https://www.suse.com/products/long-term-service-pack-support/>

To enable the LTSS extension, perform the following steps:

1. Make sure your system is registered with a subscription that is eligible for LTSS. If the system is not yet registered, run:

```
> sudo SUSEConnect -r REGISTRATION_CODE -e EMAIL_ADDRESS
```

2. Make sure the LTSS extension is available for your system:

```
> sudo SUSEConnect --list-extensions | grep LTSS
```

```
SUSE Linux Enterprise Server LTSS 15 SP3 x86_64
Activate with: SUSEConnect -p SLES-LTSS/15.3/x86_64 -r ADDITIONAL REGCODE
```

### 3. Activate the module as instructed:

```
> sudo SUSEConnect -p SLES-LTSS/15.3/x86_64 -r REGISTRATION_CODE
```

## 2.8 Identifying the SLE version

If you need to identify the version of an SLE installation, check the content of the file `/etc/os-release`.

A machine readable XML output is available with **zypper**:

```
> zypper --no-remote --no-refresh --xmlout --non-interactive products -i
<?xml version='1.0'?>
<stream>
<product-list>
<product name="SLES" version="15" release="0" epoch="0" arch="x86_64"
 vendor="SUSE" summary="SUSE Linux Enterprise Server 15" repo="@System"
 productline="sles" registerrelease="" shortname="SLES15" flavor="" isbase="true"
 installed="true"><endoflife time_t="0" text="0"/><registerflavor/><description>SUSE
 Linux Enterprise offers [...]</description></product>
</product-list>
</stream>
```

## 3 Preparing the upgrade

Before starting the upgrade procedure, make sure your system is properly prepared. Among other things, preparation involves backing up data and checking the release notes. The following chapter guides you through these steps.

### 3.1 Make sure the current system is up-to-date

Upgrading the system is only supported from the most recent patch level. Make sure the latest system updates are installed by either running `zypper patch` or by starting the YaST module *Online-Update*.

### 3.2 Read the release notes

Find a list of all changes, new features, and known issues in the [release notes \(https://www.suse.com/releasenotes/\)](https://www.suse.com/releasenotes/). You can also find the release notes on the installation media in the `docu` directory.

The release notes usually only contain the changes between two subsequent releases. If you are skipping one or more Service Packs, check the release notes of the skipped Service Packs as well. Check the release notes to see whether:

- your hardware needs special considerations;
- any used software packages have changed significantly;
- special precautions are necessary for your installation.

### 3.3 Make a backup

Before upgrading, back up your data by copying the existing configuration files to a separate medium (such as tape device, removable hard disk, etc.). This primarily applies to files stored in `/etc` and some directories and files in `/var` and `/opt`. You may also want to write the user data in `/home` (the `HOME` directories) to a backup medium.

Back up all data as `root`. Only `root` has sufficient permissions for all local files.

If you have selected *Update an Existing System* as the installation mode in YaST, you can choose to do a (system) backup at a later point in time. You can choose to include all modified files and files from the `/etc/sysconfig` directory. However, this is not a complete backup, as all the other important directories mentioned above are missing. Find the backup in the `/var/adm/backup` directory.

## 3.4 Listing installed packages and repositories

You can save a list of installed packages, for example when doing a fresh install of a new major SLE release or reverting to the old version.



### Note

Be aware that not all installed packages or used repositories are available in newer releases of SUSE Linux Enterprise. Some may have been renamed and others replaced. It is also possible that some packages are still available for legacy purposes while another package is used by default. Therefore some manual editing of the files might be necessary. This can be done with any text editor.

1. Create a file named `repositories.bak.repo` containing a list of all used repositories:

```
# zypper lr -e repositories.bak
```

2. Also create a file named `installed-software.bak` containing a list of all installed packages:

```
# rpm -qa --queryformat '%{NAME}\n' > installed-software.bak
```

3. Back up both files. The repositories and installed packages can be restored with the following commands:

```
# zypper ar repositories.bak.repo
# zypper install $(cat installed-software.bak)
```



## Note: Number of packages increases with an update to a new major release

A system upgraded to a new major version (SLE  $X+1$ ) may contain more packages than the initial system (SLE  $X$ ). It will also contain more packages than a fresh installation of SLE  $X+1$  with the same pattern selection. Reasons for this are:

- Packages were split to allow a more fine-grained package selection. For example, 37 texlive packages on SLE 11 were split into over 3000 packages on SLE 15.
- When a package has been split, all new packages are installed in the upgrade case to retain the same functionality as with the previous version. However, the new default for a fresh installation of SLE  $X+1$  may be to not install all packages.
- Legacy packages from SLE  $X$  may be kept for compatibility reasons.
- Package dependencies and the scope of patterns may have changed.

## 3.5 Disable the LTSS extension

If you upgrade a SUSE Linux Enterprise Server system with Long Term Service Pack Support (LTSS) to a version that is still under general support, the upgrade will fail with the error No migration available. This happens because zypper migration tries to migrate *all* repositories, but there is no LTSS repository for the new version yet.

To fix this issue, disable the LTSS extension before the upgrade.

1. Check if the LTSS extension is enabled:

```
> sudo SUSEConnect --list-extensions | grep LTSS
SUSE Linux Enterprise Server LTSS 12 SP4 x86_64 (Installed)
Deactivate with: SUSEConnect -d -p SLES-LTSS/12.4/x86_64
```

2. Disable the LTSS extension with the command from the SUSEConnect output above:

```
> sudo SUSEConnect -d -p SLES-LTSS/12.4/x86_64
Deregistered SUSE Linux Enterprise Server LTSS 12 SP4 x86_64
```

```
To server: https://scc.suse.com/
```

3. Verify the LTSS repository is no longer present with `zypper lr`.

## 3.6 Upgrading from SUSE Linux Enterprise Server 11 SP4

If you are using MySQL, PostgreSQL or Java MD5-based certificates on SUSE Linux Enterprise Server 11 SP4, prepare your system as described in the following sections. In addition, make sure to check the other sections of this chapter for further required preparations.

### 3.6.1 Migrate your PostgreSQL database

If you are using a PostgreSQL database on SUSE Linux Enterprise Server 11, you need to upgrade your database. For more information, see [Section 3.7, "Migrate your PostgreSQL database"](#).

### 3.6.2 Migrate your MySQL database

As of SUSE Linux Enterprise 12, SUSE switched from MySQL to MariaDB. Before you start any upgrade, it is highly recommended to back up your database.

To perform the database migration, do the following:

1. Log in to your SUSE Linux Enterprise 11 machine.
2. Create a dump file:

```
# mysqldump -u root -p --all-databases --add-drop-database > mysql_backup.sql
```

By default, `mysqldump` does not dump the `INFORMATION_SCHEMA` or `performance_schema` database. For more details refer to <https://dev.mysql.com/doc/refman/5.5/en/mysqldump.html>.

3. Store your dump file, the configuration file `/etc/my.cnf`, and the directory `/etc/mysql/` for later investigation (*not* installation!) in a safe place.
4. Perform the SUSE Linux Enterprise Server upgrade. After the upgrade, your former configuration file `/etc/my.cnf` will still be intact. You can find the new configuration in the file `/etc/my.cnf.rpmnew`.

5. Configure your MariaDB database to your needs. Do *not* use the former configuration file and directory, but use it as a reminder and adapt it.
6. Make sure you start the MariaDB server:

```
# systemctl start mariadb
```

If you want to start the MariaDB server on every boot, enable the service:

```
# systemctl enable mariadb
```

7. Verify that MariaDB is running properly by connecting to the database:

```
# mariadb -u root -p
```

### 3.6.3 Create non-MD5 server certificates for Java applications

During the update from SP1 to SP2, MD5-based certificates were disabled as part of a security fix. If you have certificates created as MD5, re-create your certificates with the following steps:

1. Open a terminal and log in as root.
2. Create a private key:

```
# openssl genrsa -out server.key 1024
```

If you want a stronger key, replace 1024 with a higher number, for example, 4096.

3. Create a certificate signing request (CSR):

```
# openssl req -new -key server.key -out server.csr
```

4. Self-sign the certificate:

```
# openssl x509 -req -days 365 -in server.csr -signkey server.key -out server.crt
```

5. Create the PEM file:

```
# cat server.key server.crt > server.pem
```

6. Place the files server.crt, server.csr, server.key, and server.pem in the respective directories where the keys can be found. For Tomcat, for example, this directory is /etc/tomcat/ssl/.

## 3.7 Migrate your PostgreSQL database

SUSE Linux Enterprise Server 15 SP3 ships with the PostgreSQL database versions 10, 12 and 13. While version 13 is the default, versions 10 and 12 are still provided through the [Legacy](#) module for upgrades from earlier versions of SUSE Linux Enterprise Server.

Because of the required migration work of the database, there is no automatic upgrade process. As such, the switch from one version to another needs to be performed manually.

The migration process is conducted by the `pg_upgrade` command, which is an alternative method of the classic dump and reload. In comparison with the “dump and reload” method, `pg_upgrade` makes the migration less time-consuming.

The program files for each PostgreSQL version are stored in different, version-dependent directories. For example, in `/usr/lib/postgresql96/` for version 9.6, in `/usr/lib/postgresql10/` for version 10, and in `/usr/lib/postgres13/` for version 13. Note that the versioning policy of PostgreSQL has changed between the major versions 9.6 and 10. For details, see <https://www.postgresql.org/support/versioning/>.



### Important: Upgrading from SLE 11

When upgrading from SLE 11, `postgresql94` will be uninstalled and cannot be used for the database migration to a higher PostgreSQL version. Therefore in this case make sure to migrate the PostgreSQL database *before* you upgrade your system.

The procedure below describes the database migration from version 12 to 13. When using a different version as start or target, replace the version numbers accordingly.

To perform the database migration, do the following:

1. Make sure the following preconditions are fulfilled:
  - If not already done, upgrade any package of the old PostgreSQL version to the latest release through a maintenance update.
  - Create a backup of your existing database.
  - Install the packages of the new PostgreSQL major version. For SLE 15 SP3 this means to install `postgresql13-server` and all the packages it depends on.



- Install the package `postgresql13-contrib` which contains the command `pg_upgrade`.
- Make sure you have enough free space in your PostgreSQL data area, which is `/var/lib/pgsql/data` by default. If space is tight, try to reduce size with the following SQL command on each database (can take very long!):

```
VACUUM FULL
```

2. Stop the PostgreSQL server with either:

```
# /usr/sbin/rcpostgresql stop
```

or

```
# systemctl stop postgresql.service
```

(depending on the SLE version you use as the start version for your upgrade).

3. Rename your old data directory:

```
# mv /var/lib/pgsql/data /var/lib/pgsql/data.old
```

4. Initialize your new database instance either manually with `initdb` or by starting and stopping PostgreSQL, which will do it automatically:

```
# /usr/sbin/rcpostgresql start  
# /usr/sbin/rcpostgresql stop
```

or

```
# systemctl start postgresql.service  
# systemctl stop postgresql.service
```

(depending on the SLE version you use as the start version for your upgrade).

5. If you have changed your configuration files in the old version, consider transferring these changes to the new configuration files. This may affect the files `postgresql.auto.conf`, `postgresql.conf`, `pg_hba.conf` and `pg_ident.conf`. The old versions of these files are located in `/var/lib/pgsql/data.old/`, the new versions can be found in `/var/lib/pgsql/data`.

Note that copying the old configuration files is not recommended, because this may overwrite new options, new defaults and changed comments.

6. Start the migration process as user `postgres` :

```
# su - postgres
postgres > pg_upgrade \
--old-datadir "/var/lib/pgsql/data.old" \
--new-datadir "/var/lib/pgsql/data" \
--old-bindir "/usr/lib/postgresql12/bin/" \
--new-bindir "/usr/lib/postgresql13/bin/"
```

7. Start your new database instance with either:

```
# /usr/sbin/rcpostgresql start
```

or

```
# systemctl start postgresql.service
```

(depending on the SLE version you use as the start version for your upgrade).

8. Check if the migration was successful. The scope of the test depends on your use case and there is no general tool to automate this step.

9. Remove any old PostgreSQL packages and your old data directory:

```
# zypper search -s postgresql12| xargs zypper rm -u
# rm -rf /var/lib/pgsql/data.old
```

For more information about upgrading databases or using alternative methods such as logical replication, refer to the official PostgreSQL documentation at <https://www.postgresql.org/docs/13/upgrading.html>.

## 3.8 Shut down virtual machine guests

If your machine serves as a VM Host Server for KVM or Xen, make sure to properly shut down all running VM Guests prior to the update. Otherwise you may not be able to access the guests after the update.

## 3.9 Adjusting your SMT client setup

If the machine you want to upgrade is registered as a client against an SMT server, take care of the following:

Check if the version of the `clientSetup4SMT.sh` script on your host is up to date. `clientSetup4SMT.sh` from older versions of SMT cannot manage SMT 12 clients. If you apply software patches regularly on your SMT server, you can always find the latest version of `clientSetup4SMT.sh` at `<SMT_HOSTNAME>/repo/tools/clientSetup4SMT.sh`.

In case upgrading your machine to a higher version of SUSE Linux Enterprise Server fails, deregister the machine from the SMT server as described in [Procedure 3.1](#). Afterward, restart the upgrade process.

### PROCEDURE 3.1: DEREGISTERING A SUSE LINUX ENTERPRISE CLIENT FROM AN SMT SERVER

1. Log in to the client machine.
2. The following step depends on the current operating system of the client:

- For SUSE Linux Enterprise 11, execute the following commands:

```
> sudo suse_register -E
> sudo rm -f /etc/SUSEConnect
> sudo rm -rf /etc/zypp/credentials.d/*
> sudo rm -rf /etc/zypp/repos.d/*
> sudo rm -f /etc/zypp/services.d/*
> sudo rm -f /var/cache/SuseRegister/*
> sudo rm -f /etc/suseRegister*
> sudo rm -f /var/cache/SuseRegister/lastzmdconfig.cache
> sudo rm -f /etc/zmd/deviceid
> sudo rm -f /etc/zmd/secret
```

- For SUSE Linux Enterprise 12, execute the following commands:

```
> sudo SUSEConnect --de-register
> sudo SUSEConnect --cleanup
> sudo rm -f /etc/SUSEConnect
> sudo rm -rf /etc/zypp/credentials.d/*
> sudo rm -rf /etc/zypp/repos.d/*
> sudo rm -f /etc/zypp/services.d/*
```

3. Log in to the SMT server.

4. Check if the client has successfully been deregistered by listing all client registrations:

```
> sudo smt-list-registrations
```

5. If the client's host name is still listed in the output of this command, get the client's Unique ID from the first column. (The client might be listed with multiple IDs.)

6. Delete the registration for this client:

```
> sudo smt-delete-registration -g UNIQUE_ID
```

7. If the client is listed with multiple IDs, repeat the step above for each of its unique IDs.

8. Check if the client has now successfully been deregistered by re-running:

```
> sudo smt-list-registrations
```

## 3.10 Disk space

Software tends to grow from version to version. Therefore, take a look at the available partition space before updating. If you suspect you are running short of disk space, back up your data before increasing the available space by resizing partitions, for example. There is no general rule regarding how much space each partition should have. Space requirements depend on your particular partitioning profile and the software selected.



### Note: Automatic check for enough space in YaST

During the update procedure, YaST will check how much free disk space is available and display a warning to the user if the installation may exceed the available amount. In that case, performing the update may lead to an *unusable system*! Only if you know exactly what you are doing (by testing beforehand), you can skip the warning and continue the update.

### 3.10.1 Checking disk space on non-Btrfs file systems

Use the `df` command to list available disk space. For example, in *Example 3.1, "List with `df -h`"*, the root partition is `/dev/sda3` (mounted as `/`).

EXAMPLE 3.1: LIST WITH `df -h`

```
Filesystem      Size  Used Avail Use% Mounted on
/dev/sda3       74G   22G   53G  29% /
tmpfs           506M    0   506M   0% /dev/shm
/dev/sda5       116G   5.8G  111G   5% /home
/dev/sda1       44G    4G   40G   9% /data
```

### 3.10.2 Checking disk space on Btrfs root file systems

On a Btrfs file system, the output of `df` can be misleading, because in addition to the space the raw data allocates, a Btrfs file system also allocates and uses space for metadata.

Consequently a Btrfs file system may report being out of space even though it seems that plenty of space is still available. In that case, all space allocated for the metadata is used up. For details on how to check for used and available space on a Btrfs file system, see *Book "Storage Administration Guide", Chapter 1 "Overview of file systems in Linux", Section 1.2.2.3 "Checking for free space"*. For more information refer to `man 8 btrfs-filesystem` and <https://btrfs.wiki.kernel.org/index.php/FAQ>.

When using Btrfs for root file systems on your machine, make sure there is enough free space. Check the available space on all mounted partitions. In the worst case, an upgrade needs as much disk space as the current root file system (without `/.snapshot`) for a new snapshot.

The following recommendations have been proven:

- For all file systems, including Btrfs, you need enough free disk space to download and install big RPMs. The space of old RPMs is only freed after new RPMs are installed.
- For Btrfs with snapshots, you need as a minimum as much free space as your current installation takes. We recommend having twice as much free space as the current installation. If you do not have enough free space, you can try to delete old snapshots with `snapper`:

```
# snapper list
# snapper delete NUMBER
```

However, this may not help in all cases. Before migration, most snapshots occupy only little space.

## 3.11 Changes in AutoYaST profiles from SLE 12 to 15

To learn how to migrate your AutoYaST profiles, see *Book "AutoYaST Guide"*, .

## 3.12 Upgrading a Subscription Management Tool (SMT) server

A server running SMT requires a special upgrade procedure. Please refer to *Book "Repository Mirroring Tool Guide"*, Chapter 3 "Migrate from SMT to RMT" in the Repository Mirroring Tool Guide.

## 3.13 Temporarily disabling kernel multiversion support

SUSE Linux Enterprise Server allows installing multiple kernel versions by enabling the respective settings in `/etc/zypp/zypp.conf`. Support for this feature needs to be temporarily disabled to upgrade to a service pack. When the update has successfully finished, multiversion support can be re-enabled. To disable multiversion support, comment the respective lines in `/etc/zypp/zypp.conf`. The result should look similar to:

```
#multiversion = provides:multiversion(kernel)
#multiversion.kernels = latest,running
```

To re-activate this feature after a successful update, remove the comment signs. For more information about multiversion support, refer to *Book "Deployment Guide"*, Chapter 23 "Installing multiple kernel versions", Section 23.1 "Enabling and configuring multiversion support".

## 3.14 Adjust the resume boot parameter

On systems that have been installed with SUSE Linux Enterprise Server 12 or older, the default kernel command line in `/etc/default/grub` may contain a `resume` parameter using a device node name such as `/dev/sda1` to refer to the hibernation ('suspend-to-disk') device. As device node names are not persistent and may change between reboots, it is strongly recommended to fix this, otherwise the upgraded system may hang on reboot.

1. Find the hibernation device:

```
> sudo grep resume /etc/default/grub
GRUB_CMDLINE_LINUX_DEFAULT="resume=/dev/sda1 splash=silent quiet showopts"
```

The hibernation device is `/dev/sda1`. If the command returns nothing, hibernation is not configured.

2. Get the UUID for `/dev/sda1`:

```
> sudo blkid /dev/vda1
/dev/vda1: UUID="a1d1f2e0-b0ee-4be2-83d5-78a98c585827" TYPE="swap"
PARTUUID="000134b5-01"
```

The UUID for `/dev/sda1` is `a1d1f2e0-b0ee-4be2-83d5-78a98c585827`.

3. Edit `/etc/default/grub` and adjust the `resume` parameter. Replace `/dev/sda1` with `UUID=a1d1f2e0-b0ee-4be2-83d5-78a98c585827`. The result will look like this:

```
GRUB_CMDLINE_LINUX_DEFAULT="resume=UUID=a1d1f2e0-b0ee-4be2-83d5-78a98c585827
splash=silent quiet showopts"
```

4. Update the configuration of the grub boot manger:

```
> sudo grub2-mkconfig -o /boot/grub2/grub.cfg
```

If the system does not use hibernation, you can simply remove the `resume` parameter and update the boot configuration.

## 3.15 Upgrading on IBM Z

Upgrading a SUSE Linux Enterprise installation on IBM Z requires the `Upgrade=1` kernel parameter, for example via the `parmfile`. See *Book "Deployment Guide", Chapter 5 "Installation on IBM Z and LinuxONE", Section 5.5 "The `parmfile`—automating the system configuration"*.

## 3.16 IBM POWER: Starting an X server

On SLES 12 for IBM POWER the display manager is configured not to start a local X server by default. This setting was reversed on SLES 12 SP1—the display manager now starts an X server.

To avoid problems during upgrade, the SUSE Linux Enterprise Server setting is not changed automatically. If you want the display manager to start an X server after the upgrade, change the setting of `DISPLAYMANAGER_STARTS_XSERVER` in `/etc/sysconfig/displaymanager` as follows:

```
DISPLAYMANAGER_STARTS_XSERVER="yes"
```



## 4 Upgrading offline

This chapter describes how to upgrade an existing SUSE Linux Enterprise installation using YaST which is booted from an installation medium. The YaST installer can, for example, be started from a DVD, over the network, or from the hard disk the system resides on.

### 4.1 Conceptual overview

Before upgrading your system, read *Chapter 3, Preparing the upgrade* first.

To upgrade your system, boot from an installation source, as you would do for a fresh installation. However, when the boot screen appears, you need to select *Upgrade* (instead of *Installation*). The upgrade can be started from:

- **Removable media.** This includes media such as CDs, DVDs or USB mass storage devices. For more information, see *Section 4.2, “Starting the upgrade from an installation medium”*.
- **Network resource.** You can either boot from the local medium and then select the respective network installation type, or boot via PXE. For more information, see *Section 4.3, “Starting the upgrade from a network source”*.

### 4.2 Starting the upgrade from an installation medium

The procedure below describes booting from a DVD, but you can also use another local installation medium like an ISO image on a USB mass storage device. The medium and boot method to select depends on the system architecture and on whether the machine has a traditional BIOS or UEFI.

#### PROCEDURE 4.1: MANUALLY UPGRADING TO SUSE LINUX ENTERPRISE SERVER 15 SP3

1. Select and prepare a boot medium, see *Book “Deployment Guide”*.
2. Insert the Unified Installer DVD for SUSE Linux Enterprise Server 15 SP3 and boot your machine. A *Welcome* screen is displayed, followed by the boot screen.
3. (*Optional*) To force the installer to only install packages from the DVD and not from network sources, add the boot option `media_upgrade=1`.

4. Start up the system by selecting *Upgrade* in the boot menu.
5. Proceed with the upgrade process as described in [Section 4.4, “Upgrading SUSE Linux Enterprise”](#).

## 4.3 Starting the upgrade from a network source

To start an upgrade from a network installation source, make sure that the following requirements are met:

### REQUIREMENTS FOR UPGRADING FROM A NETWORK INSTALLATION SOURCE

#### Network installation source

A network installation source is set up according to *Book “Deployment Guide”, Chapter 16 “Setting up a network installation source”*.

#### Network connection and network services

Both the installation server and the target machine must have a functioning network connection. Required network services are:

- Domain Name Service
- DHCP (only needed for booting via PXE, IP can be set manually during setup)
- OpenSLP (optional)

#### Boot medium

A bootable SUSE Linux Enterprise DVD, ISO image or functioning PXE setup. For details about booting via PXE, see *Book “Deployment Guide”, Chapter 17 “Preparing network boot environment”, Section 17.4 “Preparing the target system for PXE boot”*. Refer to *Book “Deployment Guide”, Chapter 11 “Remote installation”* for in-depth information on starting the upgrade from a remote server.

### 4.3.1 Manually upgrading via network installation source—booting from DVD

This procedure describes booting from a DVD as an example, but you can also use another local installation medium like an ISO image on a USB mass storage device. The way to select the boot method and to start up the system from the medium depends on the system architecture and on whether the machine has a traditional BIOS or UEFI. For details, see the links below.

1. Insert the Unified Installer DVD for SUSE Linux Enterprise Server 15 SP3 and boot your machine. A *Welcome* screen is displayed, followed by the boot screen.
2. Select the type of network installation source you want to use (FTP, HTTP, NFS, SMB, or SLP). Usually you get this choice by pressing **F4**, but in case your machine is equipped with UEFI instead of a traditional BIOS, you may need to manually adjust boot parameters. For details, see *Book "Deployment Guide", Chapter 7 "Boot parameters"* and *Book "Deployment Guide", Chapter 8 "Installation steps"*.
3. Proceed with the upgrade process as described in *Section 4.4, "Upgrading SUSE Linux Enterprise"*.

### 4.3.2 Manually upgrading via network installation source—booting via PXE

To perform an upgrade from a network installation source using PXE boot, proceed as follows:

1. Adjust the setup of your DHCP server to provide the address information needed for booting via PXE. For details, see *Book "Deployment Guide", Chapter 17 "Preparing network boot environment", Section 17.1.1 "Dynamic address assignment"*.
2. Set up a TFTP server to hold the boot image needed for booting via PXE. Use the Installer DVD for SUSE Linux Enterprise Server 15 SP3 for this or follow the instructions in *Book "Deployment Guide", Chapter 17 "Preparing network boot environment", Section 17.2 "Setting up a TFTP server"*.
3. Prepare PXE Boot and Wake-on-LAN on the target machine.
4. Initiate the boot of the target system and use VNC to remotely connect to the installation routine running on this machine. For more information, see *Book "Deployment Guide", Chapter 11 "Remote installation", Section 11.3 "Monitoring installation via VNC"*.
5. Proceed with the upgrade process as described in *Section 4.4, "Upgrading SUSE Linux Enterprise"*.

## 4.4 Upgrading SUSE Linux Enterprise

Before you upgrade your system, read [Chapter 3, Preparing the upgrade](#) first. To perform an automated migration, proceed as follows:



### Note: SUSE Customer Center and Internet connection

If the system you want to upgrade is registered with the SUSE Customer Center, make sure to have an Internet connection during the following procedure.

1. After you have booted (either from an installation medium or the network), select the *Upgrade* entry on the boot screen.



### Warning: Wrong choice may lead to data loss

Make sure you selected *Upgrade* at this point. If you select *Installation* by mistake, your data partition will be overwritten with a fresh installation.

YaST starts the installation system.

2. On the *Welcome* screen, choose *Language* and *Keyboard*. Proceed with *Next*.  
YaST checks your partitions for already installed SUSE Linux Enterprise systems.
3. On the *Select for Upgrade* screen, select the partition to upgrade and click *Next*.
4. YaST mounts the selected partition and displays the license agreement for the upgraded product. To continue, accept the license.
5. On the *Previously Used Repositories* screen, adjust the status of the repositories. By default all repositories are removed. If you have not added any custom repositories, do not change the settings. The packages for the upgrade will be installed from DVD, and you can optionally enable the default online repositories in the next step.

If you have custom repositories, you have two choices:

- Leave the repository in state *Removed*. Software that was installed from this repository will be removed during the upgrade. Use this method if no version of the repository that matches the new release is available.
- Update and enable the repository if it matches the new release. Change its URL by clicking the repository in the list, and then click *Change*. Enable the repository by checking *Toggle Status* until it is set to *Enable*.

Do not keep repositories from the previous release, as the system may be unstable or not work at all. Then proceed by clicking *Next*.

6. The next step depends on whether the upgraded system is registered with the SUSE Customer Center or not.
  - a. If the system is not registered with the SUSE Customer Center, YaST displays a pop-up message suggesting using a second installation medium, the SLE-15-SP3-Full-ARCH-GM-media1.iso image.  
If you do not have that medium, the system cannot be upgraded without registration.
  - b. If the system is registered with the SUSE Customer Center, YaST will show possible migration targets and a summary.  
Select one migration target from the list and proceed with *Next*.
7. In the next dialog you can optionally add an additional installation medium. If you have additional installation media, activate the *I would like to install an additional Add On Product* option and specify the media type.
8. Review the *Installation Settings* for the upgrade.
9. If all settings are according to your wishes, start the installation and removal procedure by clicking *Update*.



### Tip: Upgrade failure on SMT clients

If the machine to upgrade is an SMT client, and the upgrade fails, see [Procedure 3.1, “Deregistering a SUSE Linux Enterprise client from an SMT server”](#) and restart the upgrade procedure afterward.

10. After the upgrade process has finished successfully, perform post-upgrade checks as described in [Section 4.4.1, “Post-upgrade checks”](#).

## 4.4.1 Post-upgrade checks

- Check for any “orphaned packages”. During an upgrade procedure, packages may be re-named, removed, merged, or split. As a result, certain packages can become orphaned and unsupported. Orphaned packages are not automatically removed. The following command gives you a list of these:

```
> zypper packages --orphaned --unneeded
```

Use the list to determine which packages are still needed and which packages can be safely removed.

- Check for any `*.rpmnew` and `*.rpmsave` files, examine their content, and possibly merge desirable changes. When an upgrade includes changes to a default configuration file, instead of overwriting the configuration file, the package will write one of these file types. While `*.rpmnew` contains the new default configuration and leaves your original file untouched, `*.rpmsave` is a copy of your original configuration that has been replaced by the new default file.

You do not need to search the whole file system for `*.rpmnew` and `*.rpmsave` files, the most important are stored in the `/etc` directory. Use the following command to list them:

```
> find /etc -print | egrep "rpmnew$|rpmsave$"
```

## 4.5 Upgrading with AutoYaST

The upgrade process can be executed automatically. For details, see *Book “AutoYaST Guide”, Chapter 4 “Configuration and installation options”, Section 4.10 “Upgrade”*.

## 4.6 Upgrading with SUSE Manager

SUSE Manager is a server solution for providing updates, patches, and security fixes for SUSE Linux Enterprise clients. It comes with a set of tools and a Web-based user interface for management tasks. See <https://www.suse.com/products/suse-manager/> for more information about SUSE Manager.

With SUSE Manager you can perform a system upgrade. With the integrated AutoYaST technology, upgrades from one major version to the next are possible.

If your machine is managed by SUSE Manager, update it as described in the SUSE Manager documentation. The *Client Migration* procedure is described in the *SUSE Manager Upgrade Guide*, available at <https://documentation.suse.com/suma/>.

## 4.7 Updating registration status after rollback

When performing a service pack upgrade, it is necessary to change the configuration on the registration server to provide access to the new repositories. If the upgrade process is interrupted or reverted (via restoring from a backup or snapshot), the information on the registration server is inconsistent with the status of the system. This may lead to you being prevented from accessing update repositories or to wrong repositories being used on the client.

When a rollback is done via Snapper, the system will notify the registration server to ensure access to the correct repositories is set up during the boot process. If the system was restored with another method, or the communication with the registration server failed, trigger the rollback on the client manually. An example for manually triggering a rollback can be that the server was not accessible because of network issues. To do a rollback, execute:

```
> sudo snapper rollback
```

We suggest always checking that the correct repositories are set up on the system, especially after refreshing the service using:

```
> sudo zypper ref -s
```


This functionality is available in the `rollback-helper` package.

## 4.8 Registering your system

If the system was not registered before running the upgrade you can register your system at any time using the *Product Registration* module in YaST.

Registering your systems has these advantages:

- Eligibility for support
- Availability of security updates and bug fixes
- Access to SUSE Customer Center

1. Start YaST and select *Software > Product Registration* to open the *Registration* dialog.
2. Provide the *E-mail* address associated with the SUSE account you or your organization uses to manage subscriptions. In case you do not have a SUSE account yet, go to the SUSE Customer Center home page (<https://scc.suse.com/> ) to create one.
3. Enter the *Registration Code* you received with your copy of SUSE Linux Enterprise Server.
4. If one or more local registration servers are available on your network, you can choose one of them from a list.
5. To start the registration, proceed with *Next*.

After successful registration, YaST lists extensions, add-ons, and modules that are available for your system. To select and install them, proceed with *Book "Deployment Guide", Chapter 22 "Installing modules, extensions, and third party add-on products", Section 22.1 "Installing modules and extensions from online channels"*.



## 5 Upgrading online

SUSE offers an intuitive graphical and a simple command line tool to upgrade a running system to a new service pack. They provide support for “rollback” of service packs and more. This chapter explains how to do a service pack upgrade step by step with these tools.

### 5.1 Conceptual overview

SUSE releases new service packs for the SUSE Linux Enterprise family at regular intervals. To make it easy for customers to migrate to a new service pack and minimize downtime, SUSE supports migrating online while the system is running.

Starting with SLE 12, YaST Wagon has been replaced by YaST migration (GUI) and Zypper migration (command line). This has the following advantages:

- The system is always in a defined state until the first RPM is updated.
- Canceling is possible until the first RPM is updated.
- Simple recovery if there is an error.
- It is possible to do a “rollback” via system tools—no backup or restore needed.
- Use of all active repositories.
- The ability to skip a service pack




#### Warning: Online migration not supported for major releases

The online migration is *only* supported for migrating between service packs. Online migration is *not supported* for upgrading to new major releases. For details, see [Chapter 1, Upgrade paths and methods](#).

Use the offline migration to upgrade to a new major release. For details, see [Chapter 4, Upgrading offline](#).

## Important: Upgrading SUSE Manager clients

If the system to upgrade is a SUSE Manager client, it cannot be upgraded by YaST online migration or **zypper migration**. Use the *Client Migration* procedure instead. It is described in the *SUSE Manager Upgrade Guide* (<https://documentation.suse.com/suma/>) .

## 5.2 Service pack migration workflow

A service pack migration can be executed by either YaST, **zypper**, or AutoYaST.

Before you can start a service pack migration, your system must be registered at the SUSE Customer Center or a local RMT server. SUSE Manager can also be used.

Regardless of the method, a service pack migration consists of the following steps:

1. Find possible migration targets on your registered systems.
2. Select one migration target.
3. Request and enable new repositories.
4. Run the migration.

The list of migration targets depends on the products you have installed and registered. If you have an extension installed for which the new SP is not yet available, it could be that no migration target is offered to you.

The list of migration targets available for your host will always be retrieved from the SUSE Customer Center and depend on products or extensions installed.

## 5.3 Canceling service pack migration

A service pack migration can only be canceled at specific stages during the migration process:

1. Until the package upgrade starts, there are only minimal changes on the system, like for services and repositories. Restore `/etc/zypp/repos.d/*` to revert to the former state.
2. After the package upgrade starts, you can revert to the former state by using a Snapper snapshot (see *Book "Administration Guide", Chapter 7 "System recovery and snapshot management with Snapper"*).
3. After the migration target was selected, SUSE Customer Center changes the repository data. To revert this state manually, use `SUSEConnect --rollback`.

## 5.4 Upgrading with the online migration tool (YaST)

To perform a service pack migration with YaST, use the *Online Migration* tool. By default, YaST does not install any packages from a third-party repository. If a package was installed from a third-party repository, YaST prevents packages from being replaced with the same package coming from SUSE.



### Note: Reduce installation size

When performing the Service Pack migration, YaST will install all recommended packages. Especially in the case of custom minimal installations, this may increase the installation size of the system significantly.

To change this default behavior and allow only required packages, adjust the `solver.onlyRequires` option in `/etc/zypp/zypp.conf`.

```
solver.onlyRequires = true
```

Additionally, edit the file `/etc/zypp/zypper.conf` and change the `installRecommends` option.

```
installRecommends=false
```

This changes the behavior of all package operations, such as the installation of patches or new packages. To change the behavior of Zypper for a single invocation, add the parameter `--no-recommends` to your command line.

To start the service pack migration, do the following:

1. Deactivate all unused extensions on your registration server to avoid future dependency conflicts. If you forget an extension, YaST will later detect unused extension repositories and deactivate them.
2. If you are logged in to a GNOME session running on the machine you are going to update, switch to a text console. Running the update from within a GNOME session is not recommended. Note that this does not apply when being logged in from a remote machine (unless you are running a VNC session with GNOME).
3. Run YaST online update to get the latest package updates for your system.
4. Install the package `yast2-migration` and its dependencies (in YaST under *Software* > *Software Management*).
5. Restart YaST, otherwise the newly installed module will not be shown in the control center.
6. In YaST, choose *Online Migration* (depending on the version of SUSE Linux Enterprise Server that you are upgrading from, this module is categorized under either *System* or *Software*). YaST will show possible migration targets and a summary. If more than one migration target is available for your system, select one from the list.
7. Select one migration target from the list and proceed with *Next*.
8. In case the migration tool offers update repositories, it is recommended to proceed with *Yes*.
9. If the online migration tool finds obsolete repositories from DVD or a local server, it is highly recommended to disable them. Obsolete repositories are for a previous service pack. Old repositories from SUSE Customer Center or RMT are removed automatically. If the registration server does not offer migrations for a module or extension, its repository configuration will remain unchanged. This usually happens with 3rd party repositories such as the *NVIDIA Compute Module* that are not specific to a product version or service pack. If necessary, you can manually check the repository configuration after the migration.
10. Check the summary and proceed with the migration by clicking *Next*. Confirm with *Start Update*.
11. After the successful migration restart your system.

## 5.5 Upgrading with Zypper

To perform a service pack migration with Zypper, use the command line tool `zypper migration` from the package `zypper-migration-plugin`.



### Note: Reduce installation size

When performing the Service Pack migration, YaST will install all recommended packages. Especially in the case of custom minimal installations, this may increase the installation size of the system significantly.

To change this default behavior and allow only required packages, adjust the `solver.onlyRequires` option in `/etc/zypp/zypp.conf`.

```
solver.onlyRequires = true
```

Additionally, edit the file `/etc/zypp/zypper.conf` and change the `installRecommends` option.

```
installRecommends=false
```

This changes the behavior of all package operations, such as the installation of patches or new packages. To change the behavior of Zypper for a single invocation, add the parameter `--no-recommends` to your command line.

To start the service pack migration, do the following:

1. If you are logged in to a GNOME session running on the machine you are going to update, switch to a text console. Running the update from within a GNOME session is not recommended. Note that this does not apply when being logged in from a remote machine (unless you are running a VNC session with GNOME).
2. Register your SUSE Linux Enterprise machine if you have not done so:

```
> sudo SUSEConnect --regcode YOUR_REGISTRATION_CODE
```

3. Start the migration:

```
> sudo zypper migration
```

Some notes about the migration process:

- If more than one migration target is available for your system, Zypper allows you to select one SP from the list. This is the same as skipping one or more SPs. Keep in mind, online migration for base products (SLES, SLED) remains available only between the SPs of a major version.
  - By default, Zypper uses the option `--no-allow-vendor-change` which is passed to `zypper dup`. If a package was installed from a third-party repository, this option prevents packages from being replaced with the same package coming from SUSE.
  - If Zypper finds obsolete repositories coming from DVD or a local server, it is highly recommended to disable them. Old SUSE Customer Center or RMT repositories are removed automatically.
4. Review all the changes, especially the packages that are going to be removed. Proceed by typing `y` (the exact number of packages to upgrade can vary on your system):

```
266 packages to upgrade, 54 to downgrade, 17 new, 8 to reinstall, 5 to remove, 1 to
change arch.
Overall download size: 285.1 MiB. Already cached: 0 B After the operation,
additional 139.8 MiB will be used.
Continue? [y/n/? shows all options] (y):
```

Use the `Shift + Page ↑` or `Shift + Page ↓` keys to scroll in your shell.

5. After successful migration restart your system.

## 5.6 Upgrading with plain Zypper

If your system is not registered because you do not have access to the Internet or a registration server, migrating to a new service pack is not possible with YaST Migration or `zypper migration`. In this case you can still migrate to a new service pack with plain Zypper and some manual interactions.

## ! Important: For unregistered systems only

This migration path to a new service pack is *only* supported for unregistered systems that do not have access to the Internet or a registration server. This may, for example, be the case for machines in a specially protected network. If you have a registered system, use YaST or Zypper migration.

## ! Important: Installation sources

This migration path requires you to provide the installation sources for the new service pack in a place that can be accessed by the machine you are going to migrate. This can for example be achieved by setting up an RMT server or an SLP server.

It is also required that the system has access to an up-to-date update repository for the installed product version.

1. If you are logged in to a graphical session running on the machine you are going to migrate, log out of that session and switch to a text console. Running the update from within a graphical session is not recommended. Note that this does not apply when being logged in from a remote machine (unless you are running a VNC session with X).

2. Update the package management tools with the old SUSE Linux Enterprise repositories:

```
> sudo zypper patch --updatestack-only
```

3. Get a list of packages that currently do not have a repository assigned to them (orphaned packages). These packages will not be migrated and there is no guarantee that they will work after the migration (because other packages they rely on may have changed in such a way that they are no longer compatible). To get the list, run:

```
> sudo zypper packages --orphaned
```

Carefully review the list and remove all orphaned packages that are no longer needed. Make a note of all remaining orphaned packages, you will need it later for comparison.

4. Get a list of all repositories that the system is currently subscribed to by running:

```
> sudo zypper repos -u
```

Update each repository URL so that its product version number is 15-SP3. For example, if the URL of a repository is

```
http://rmt.example.com/repo/SUSE/Products/SLE-15-SP2-Product-SLES/x86_64/product/
```

change it to

```
http://rmt.example.com/repo/SUSE/Products/SLE-15-SP3-Product-SLES/x86_64/product/
```

This needs to be done with all repositories that are enabled. You may want to consider also doing this for repositories that are currently disabled, to avoid having wrong installation sources in the system when activating them at a later point in time.

To change the repository URLs you have the following options:

- a. Using *YaST* > *Software* > *Software Repositories*. Select a repository and click *Edit* to make the required change. Repeat this for all repositories.
- b. Using Zypper. Remove the old repository by running

```
> sudo zypper removerepo OLD_REPO_ID
```

Then add the corresponding new repository by running

```
> sudo zypper addrepo -f URL NAME-15-SP3
```

- c. By editing repository configuration files in `/etc/zypp/repos.d`. Each repository is represented by one configuration file. Changing the value for the `baseurl` parameter is required in each file.
5. Review your changes by running `zypper repos -u` and update the repositories by running:

```
> sudo zypper refresh -f -s
```

In case updating a repository fails, double-check whether you entered the wrong URL. If the problem cannot be fixed, it is recommended to disable the failing repository.

If all repositories are correctly configured, run

```
> sudo zypper refresh -f -s
```

again, to make sure *all* repositories are up-to-date.

6. Before starting the migration it is recommended do a test run first:

```
> sudo zypper dup -D --no-allow-vendor-change --no-recommends
```



The parameter `-D` will perform a dry run that simulates the migration without actually changing the system. If problems occur, fix them before proceeding. In case the test run succeeds, perform the real migration by running:

```
> sudo zypper dup --no-allow-vendor-change --no-recommends
```

`--no-allow-vendor-change` ensures that third-party RPMs will not overwrite RPMs from the base system. The option `--no-recommends` ensures that packages deselected during initial installation will not be added again.

7. When the migration has finished and the system has booted into the new service pack version, run the check for orphaned packages again:

```
> sudo zypper packages --orphaned
```

Compare the new list with the one you generated before starting the migration. If new packages appear in the list, it may be because they were moved to a different module in the new service pack. If you did not have that module in the previous installation, the package did not get updated.

You can check to which module a package belongs at <https://scc.suse.com/packages>. Add the missing modules using `zypper addrepo` or the YaST Software Repositories module, and update the orphaned packages afterwards by running:

```
> sudo zypper install --no-recommends LIST OF PACKAGES
```

8. You have successfully migrated to a new service pack!

## 5.7 Rolling back a service pack

If a service pack does not work for you, SUSE Linux Enterprise supports reverting the system to the state before the service pack migration was started. Prerequisite is a Btrfs root partition with snapshots enabled (this has been the default since SLES 12). See Book “Administration Guide”, Chapter 7 “System recovery and snapshot management with Snapper” for details.

1. Get a list of all Snapper snapshots:

```
> sudo snapper list
```

Review the output to locate the snapshot that was created immediately before the service pack migration was started. The column *Description* contains a corresponding statement and the snapshot is marked as important in the column *Userdata*. Memorize the snapshot number from the column *#* and its date from the column *Date*.

2. Reboot the system. From the boot menu, select *Start boot loader from a read-only snapshot* and then choose the snapshot with the date and number you memorized in the previous step. A second boot menu (the one from the snapshot) is loaded. Select the entry starting with SLES 15 SP3 and boot it.
3. The system boots into the previous state with the system partition mounted read-only. Log in as root and check whether you have chosen the correct snapshot. Also make sure everything works as expected. Note that since the root file system is mounted read-only, restrictions in functionality may apply.

In case of problems or if you have booted the wrong snapshot, reboot and choose a different snapshot to boot from—up to this point no permanent changes have been made. If the snapshot is correct and works as expected, make the change permanent by running the following command:

```
> sudo snapper rollback
```

Reboot afterward. On the boot screen, choose the default boot entry to reboot into the reinstated system.

4. Check if the repository configuration has been properly reset. Furthermore, check if all products are properly registered. If either one is not the case, updating the system at a later point in time may no longer work, or the system may be updated using the wrong package repositories.

Make sure the system can access the Internet before starting this procedure.

- a. Refresh services and repositories by running

```
> sudo zypper ref -fs
```

- b. Get a list of active repositories by running

```
> sudo zypper lr
```

Carefully check the output of this command. No services and repositories that were added for the update should be listed. For example, if you are rolling back from SLES 15 SP3 to SLES15 GA, the list must contain the SLES15-GA repositories, and not the SLES15-SP3 repositories.

If wrong repositories are listed, delete them and, if necessary, replace them with the versions matching your product or service pack version. For a list of repositories for the supported migration paths refer to [Section 2.3, "Module dependencies and life cycles"](#). (Note that manual intervention should not be necessary, as the repositories should be updated automatically, but it is a best practice to verify and make any necessary corrections.)

- c. Last, check the registration status for all products installed by running

```
> sudo SUSEConnect --status
```

All products should be reported as being Registered. If this is not the case, repair the registration by running

```
> sudo SUSEConnect --rollback
```

Now you have successfully reverted the system to the state that was captured immediately before the service pack migration was started.

## 5.8 Upgrading with SUSE Manager

SUSE Manager is a server solution for providing updates, patches, and security fixes for SUSE Linux Enterprise clients. It comes with a set of tools and a Web-based user interface for management tasks. See <https://www.suse.com/products/suse-manager/> for more information about SUSE Manager.

SP Migration allows migrating from one Service Pack (SP) to another within one major version (for example, from SLES 15 GA to SLES 15 SP3).

If your machine is managed by SUSE Manager, update it as described in the SUSE Manager documentation. The *Client Migration* procedure is described in the *SUSE Manager Upgrade Guide*, available at <https://documentation.suse.com/suma/>.

## 5.9 Upgrading from openSUSE Leap to SUSE Linux Enterprise Server

You can upgrade an openSUSE Leap installation to SUSE Linux Enterprise Server. The procedure is similar to [Section 5.4, “Upgrading with the online migration tool \(YaST\)”](#), but requires some additional steps. Before executing this procedure on a production system, we recommend to first run it on a test system that replicates your production setup.

To find out which openSUSE Leap versions are supported for migration, refer to [Section 1.2, “Supported upgrade paths to SLES 15 SP3”](#).



### Warning: Not all openSUSE packages can be migrated

openSUSE provides more packages than SUSE Linux Enterprise Server. Most of the additional packages are available through SUSE Package Hub and will be migrated. Any additional packages that are not available through SUSE Package Hub will no longer receive updates after the migration and should therefore be removed afterward.

Make sure that all packages you need for operating your system are available in the SUSE Linux Enterprise Server and SUSE Package Hub repositories. For more information about SUSE Package Hub, refer to *Book “Deployment Guide”, Chapter 22 “Installing modules, extensions, and third party add-on products”, Section 22.3 “SUSE Package Hub”*.

#### PROCEDURE 5.1: UPGRADING OPENSUSE LEAP TO SUSE LINUX ENTERPRISE SERVER

To migrate from openSUSE Leap to SUSE Linux Enterprise Server, execute the following steps:

1. Switch to a TTY, for example by pressing `Ctrl - Alt - F1` . Then log in as `root` .
2. Install the `yast2-registration` and `rollback-helper` packages:

```
# zypper in yast2-registration rollback-helper
```

3. Enable the `rollback-helper` service:

```
# systemctl enable rollback
```

4. Register the system with the SUSE Customer Center:

```
# yast2 registration
```

5. Perform the migration:

```
# yast2 migration
```

In case of package conflicts, YaST presents a list of resolutions to choose from.

6. Remove orphaned packages:

```
# zypper rm $(zypper --no-refresh packages --orphaned | gawk '{print $5}' | tail -n +5)
```

7. Reboot the system:

```
# reboot
```

You have now successfully migrated your system to SUSE Linux Enterprise Server.

If you run into a problem after the migration, you can revert the migration like a service pack upgrade. For instructions, refer to [Section 5.7, “Rolling back a service pack”](#).

## 6 Backports of source code

SUSE extensively uses backports, for example for the migration of current software fixes and features into released SUSE Linux Enterprise packages. The information in this chapter explains why it can be misleading to compare version numbers to judge the capabilities and the security of SUSE Linux Enterprise software packages. This chapter also explains how SUSE keeps the system software secure and current while maintaining compatibility for your application software on top of SUSE Linux Enterprise products. You will also learn how to check which public security issues actually are addressed in your SUSE Linux Enterprise system software, and the current status of your software.

### 6.1 Reasons for backporting

Upstream developers are primarily concerned with advancing the software they develop. Often they combine fixing bugs with introducing new features which have not yet received extensive testing and which may introduce new bugs.

For distribution developers, it is important to distinguish between:

- bugfixes with a limited potential for disrupting functionality; and
- changes that may disrupt existing functionality.

Usually, distribution developers do not follow all upstream changes when a package has become part of a released distribution. Usually they stick instead with the upstream version that they initially released and create patches based on upstream changes to fix bugs. This practice is known as *backporting*.

Distribution developers generally will only introduce a newer version of software in two cases:

- when the changes between their packages and the upstream versions have become so large that backporting is no longer feasible, or
- for software that inherently ages badly, like anti-malware software.

SUSE uses backports extensively as we strike a good balance between several concerns for enterprise software. The most important of them are:

- Having stable interfaces (APIs) that software vendors can rely on when building products for use on SUSE's enterprise products.
- Ensuring that packages used in the release of SUSE's enterprise products are of the highest quality and have been thoroughly tested, both in themselves and as part of the whole enterprise product.
- Maintaining the various certifications of SUSE's enterprise products by other vendors, like certifications for Oracle or SAP products.
- Allowing SUSE's developers to focus on making the next product version, rather than spreading their focus thinly across a wide range of releases.
- Keeping a clear view of what is in a particular enterprise release, so that our support can provide accurate and timely information about it.

## 6.2 Reasons against backports

It is a general policy rule that no new upstream versions of a package are introduced into our enterprise products. This rule is not an absolute rule however. For certain types of packages, in particular anti-virus software, security concerns weigh heavier than the conservative approach that is preferable from the perspective of quality assurance. For packages in that class, occasionally newer versions are introduced into a released version of an enterprise product line.

Sometimes also for other types of packages the choice is made to introduce a new version rather than a backport. This is done when producing a backport is not economically feasible or when there is a very relevant technical reason to introduce the newer version.

## 6.3 The implications of backports for interpreting version numbers

Because of the practice of backporting, one cannot simply compare version numbers to determine whether a SUSE package contains a fix for a particular issue or has had a particular feature added to it. With backporting, the upstream part of a SUSE package's version number merely

indicates what upstream version the SUSE package is based on. It may contain bug fixes and features that are not in the corresponding upstream release, but that have been backported into the SUSE package.

One particular area where this limited value of version numbers when backporting is involved can cause problems is with security scanning tools. Some security vulnerability scanning tools (or particular tests in such tools) operate solely on version information. These tools and tests are therefore prone to generating “false positives” (when a piece of software is incorrectly identified as vulnerable) when backports are involved. When evaluating reports from security scanning tools, always check whether an entry is based on a version number or on an actual vulnerability test.

## 6.4 Checking for fixed bugs and backported features

There are several locations where information regarding backported bug fixes and features are stored:

- The package's changelog:

```
> rpm -q --changelog name-of-installed-package
> rpm -qp --changelog packagefile.rpmpackagefile.rpm
```

The output briefly documents the change history of the package.

- The package changelog may contain entries like [bsc#1234](#) (“BugzillaSuse. Com”) that refer to bugs in SUSE's Bugzilla tracking system or links to other bugtracking systems. Because of confidentiality policies, not all such information may be accessible to you.
- A package may contain a [/usr/share/doc/PACKAGENAME /README.SUSE](#) file which contains general, high-level information specific to the SUSE package.
- The RPM source package contains the patches that were applied during the building of the regular binary RPMs as separate files that can be interpreted if you are familiar with reading source code. See *Book “Administration Guide”, Chapter 6 “Managing software with command line tools”, Section 6.1.3.5 “Installing or downloading source packages”* for installing sources of SUSE Linux Enterprise software. See *Book “Administration Guide”, Chapter 6 “Managing soft-*



*ware with command line tools*", Section 6.2.5 "Installing and compiling source packages" for building packages on SUSE Linux Enterprise. See the [Maximum RPM \(http://www.rpm.org/max-rpm/\)](http://www.rpm.org/max-rpm/) book for details about software package builds for SUSE Linux Enterprise.

- For security bug fixes, consult the [SUSE security announcements \(https://www.suse.com/support/security/\)](https://www.suse.com/support/security/). These often refer to bugs through standardized names like [CAN-2005-2495](#) which are maintained by the [Common Vulnerabilities and Exposures \(CVE\) \(http://cve.mitre.org\)](http://cve.mitre.org) project.

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